

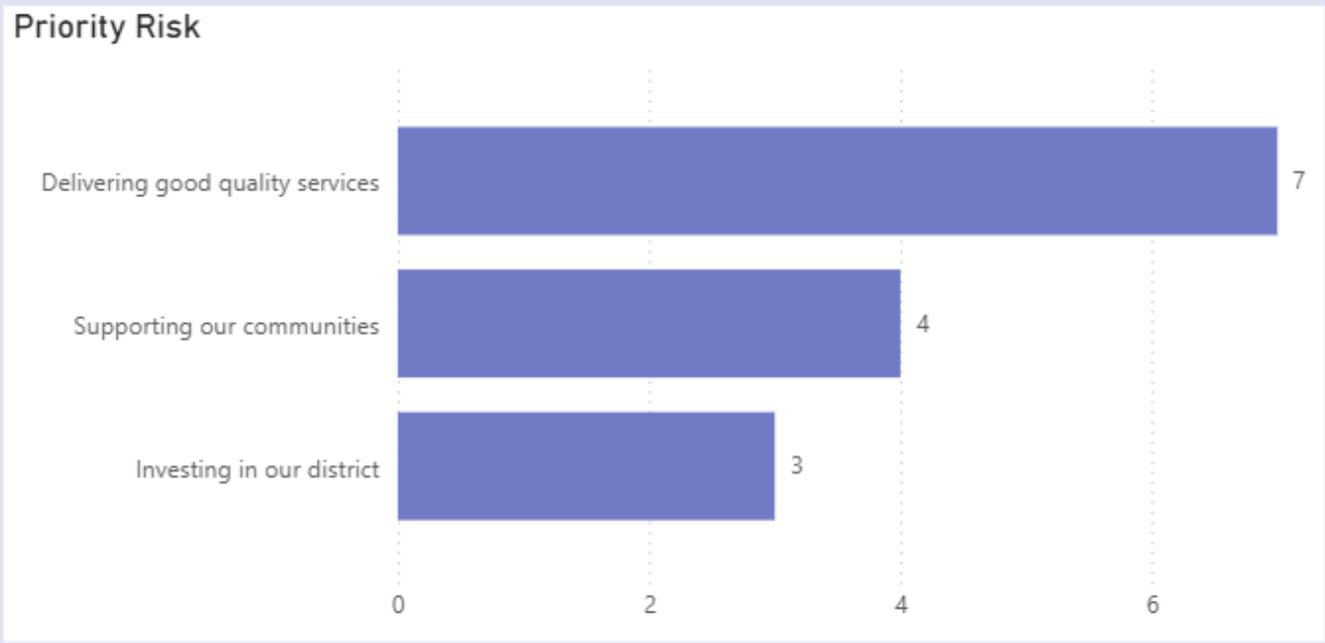
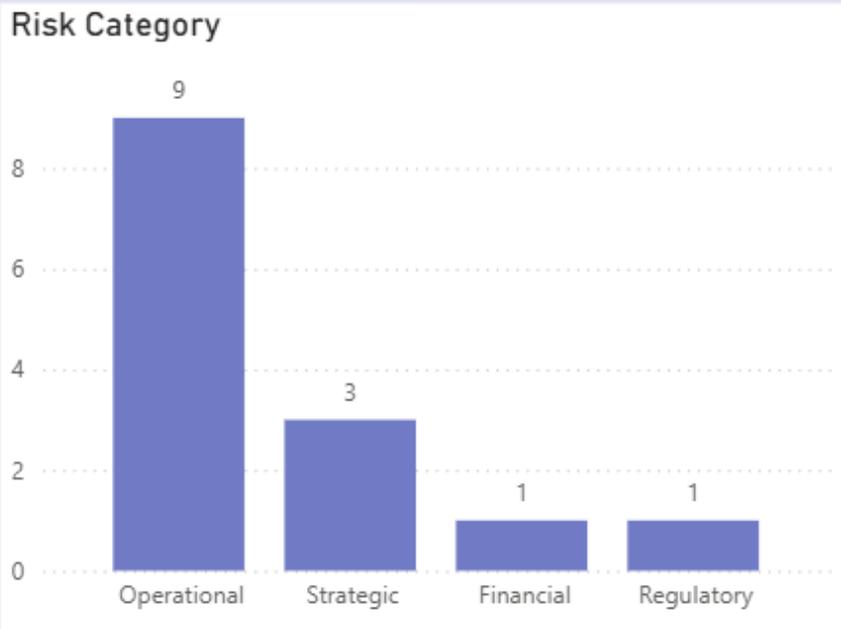
# Corporate Risk Register (Quarter 3 25/26)

14

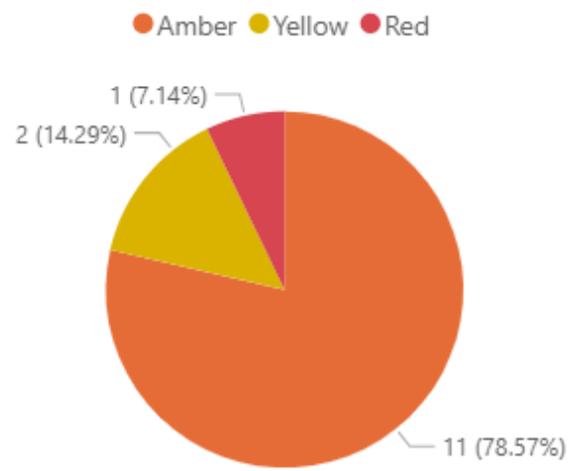
Active Risks

1

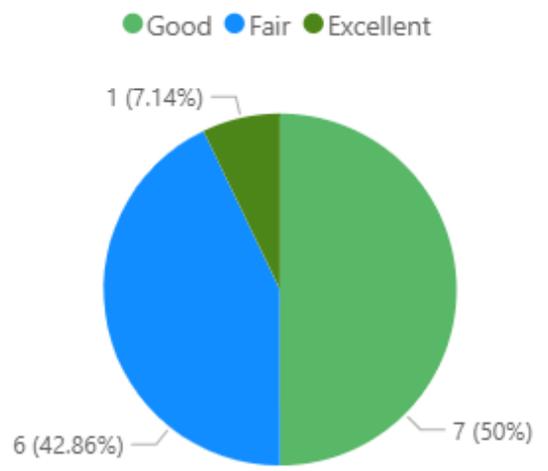
Mitigating Actions



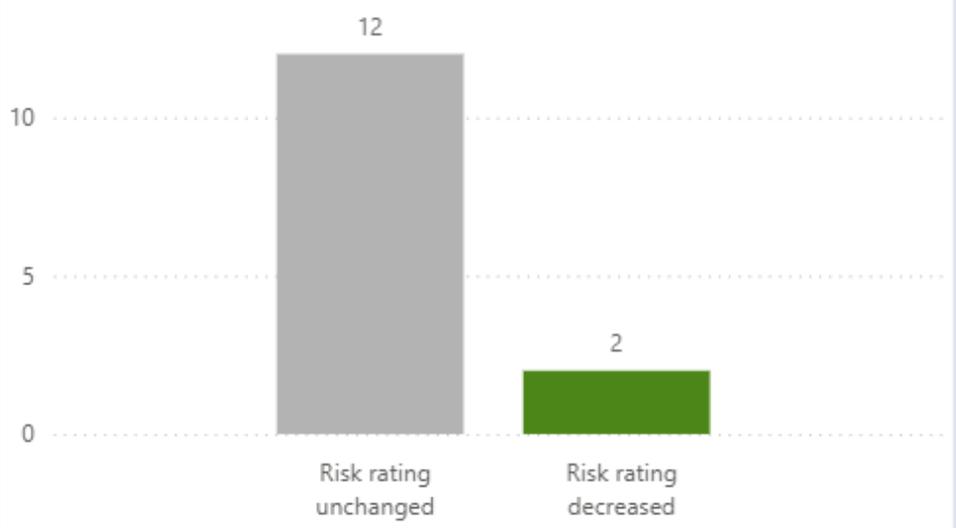
### Tolerance Split



### Risk Control Strength



### Rating Direction



Risk ID	Risk	Likelihood	Impact	Overall Risk Rating	Rating Direction	Recent Update	Control Strength	Year Raised
5	Failure to maintain a 5 year housing land supply	5	5	25	Risk rating unchanged →	Q3 25/26 – The latest calculation of the Council's 5YHLS is that there is 4.1 years, this is being reported to full Council in February 2026. In the circumstances where the Council cannot demonstrate a five years of housing supply, the policies in the approved Local Plan are considered out of date, and the 'tilted balance' applies. This is likely to lead to new homes being permitted outside the settlement boundaries on greenfield sites which have not been allocated in the Local Plan.	Good	2017/18
10	Inadequate staffing structure and resource for resilience	3	4	12	Risk rating decreased ↓	Q3 25/26 - Implementation of Phase 1 of the Transformation programme complete, likelihood has been reduced. Phase 2 is now being progressed, moving towards staff communication and consultation	Fair	2021/22
16	Failure to engage and prepare to be ready for any impact of Local Government Review	3	4	12	Risk rating unchanged →	Q3 25/26 - Communications have promoted the live public consultation on four business case proposals. Maldon District Council have provided a formal consultation response as part of this. Officers have been involved with Essex System Groups to start work/ gathering data required to make Local Government Review(LGR) successful ahead of a decision expected in March, and a Programme has been stepped up through our Project Management Office (PMO) for resource oversight. Currently, this is drawing on Business as Usual (BAU) resource for delivery and as part of Phase 1 transformation specific resource has been allocated to support LGR	Fair	2024/25
17	Failure to provide adequate building safety and access systems	3	4	12	Risk rating unchanged →	Q3 25/26 - A project to implement new Fire safety systems during Q3 has commenced, with good progress being made.	Fair	2025/26
1	Failure to safeguard children and vulnerable adults	2	5	10	Risk rating unchanged →	Q3 25/26 - Safeguarding Policy approved at committee. New sub working group established to improve current processes. Future plans in place to better reporting and training of staff/members	Good	2012/13

Risk ID	Risk	Likelihood	Impact	Overall Risk Rating	Rating Direction	Recent Update	Control Strength	Year Raised
4	Damage caused to the Council's reputation associated with delays to planned infrastructure delivery in the District by third parties which was due to mitigate approved development.	3	3	9	Risk rating unchanged →	Q3 25/26 – No change since Q2. When an applicant seeks planning for a major development (a site of 10 or more homes) the Council will consult with a range of infrastructure providers. The planning system allows the Council to seek contributions towards the delivery of infrastructure where necessary to make the development acceptable in planning terms, directly related to the development, and fairly and reasonably related in scale and kind to the development. Each year the Council produces an Infrastructure Funding Statement (IFS) which details the infrastructure contributions which have been collected. This is reported to the PGA Committee and published on the Council's website. Most of the infrastructure contributions are for other organisations such as education and highways where the contributions go to the County Council. As part of the Council's evidence base for the Local Plan a draft Infrastructure Delivery Plan has been prepared.	Good	2014/15
8	Unable to recruit and retain staff with specific skills sets in specialist areas to meet the demands of the service	3	3	9	Risk rating unchanged →	Q3 25/26 - During Q3, the Service Level Agreement with Essex County Council was formally discounted following Director instruction. In response, due to an improved budget position and ability to fund alternative options to recruitment, HR can actively support the Directors with a targeted recruitment campaigns designed to address identified skills gaps and strengthen workforce planning for the service. This risk is likely to reduce over time.	Fair	2015/16
14	Changes in national policy from the new government leading to uncertainty of impacts to project and service delivery.	3	3	9	Risk rating unchanged →	Q3 25/26 - No significant change from Q2. Recommendation for corporate closure and risk to be managed by relevant services.	Fair	2024/25

Risk ID	Risk	Likelihood	Impact	OverallRisk Rating	RatingDirection	Recent Update	Control Strength	Year Raised
2	Failure to target services and influence partners with the aim of having an effective outcome on the identified health and wellbeing needs of the vulnerable population	2	4	8	Risk rating unchanged →	<p>Q3 25/26 - The risk rating remains unchanged due to our continued strong working relationships with district and regional partners. We continue to work in partnership to support our most vulnerable residents with Mid Essex Alliance, One Maldon District, Maldon District Community Forum, Livewell Board and Integrated Neighbourhoods.</p> <p>Mid and South Essex Integrated Care Board (MSEICB) is in the final stages of its restructure, which is due to complete by 31st March 2026. At present this presents no risk to the Council, with relations being maintained at executive and senior officer level.</p>	Excellent	2016/17
3	Failure to influence Community Safety partners to address the key areas of public concern (including rural crime) and the negative perception of crime	2	4	8	Risk rating unchanged →	<p>Q3 25/26 - The Council continues to be an active member of the Community Safety Partnership(CSP) . The creation of a Community Safety Hub in the Council Offices, where the Police, Community Engagement Officers and Community Safety staff are co-located has supported partnership working and intelligence sharing to help identify and address local issues.</p> <p>At a strategic level the CSP arranges for an annual survey undertaken to measure perception of crime, and also to inform local priorities, which is translated into a local action plan, which is delivered with partners. The outcome of the survey will be used to inform our local priorities during Q4, enabling the Community Safety work plan for 2026/27</p> <p>The Councils Overview and Scrutiny Committee sitting at the Crime and Disorder Panel receives reports from Essex Police on the work that is being done to tackle crime, and provides data on local crime and trends. The work of this Committee has helped to provide a targeted response to areas such as road safety, through funding and delivery of increased speed enforcement activity. The Committee will receive an update in Q4</p>	Good	2017/18

Risk ID	Risk	Likelihood	Impact	Overall Risk Rating	Rating Direction	Recent Update	Control Strength	Year Raised
6	Unable to secure sufficient and appropriately sized affordable housing to meet local need due to market conditions, development viability, and reliance on external delivery partners	2	4	8	Risk rating unchanged →	Q3 25/26 - The update to the Local Housing Needs Assessment has allowed the Council to negotiate for 80% of the affordable homes delivered on development sites to be affordable homes for rent. Of these homes, 75% will be at the social rent levels. These will only be delivered once the sites are constructed, but this is an important step in ensuring the planing process will deliver the homes to meet the most important need in the district.	Good	2016/17
7	Failure to protect personal or commercially sensitive data	2	4	8	Risk rating unchanged →	Q3 25/26 - No Information Commissioners Office (ICO) complaints and data breaches remain low. No change to risk.	Good	2009/10
12	Lack of Temporary Accommodation & Social Housing to cope with demand	2	3	6	Risk rating unchanged →	Q3 25/26 - Temporary accommodation numbers have stayed broadly stable, showing that placements are being managed well and that prevention work is having a positive impact. An Accommodation Officer is now in post and is focusing on increasing access to the private rented sector, which should help reduce reliance on social housing and ease future pressure on temporary accommodation. As Maldon District Council is a non-stock holding authority, this will remain an ongoing risk. Work is also underway to bring a paper to Members seeking approval to purchase two schemes in Maldon District, which would further increase the Council's temporary accommodation provision.	Fair	2022/23
9	Failure to plan and deliver balanced budgets over the medium term	1	5	5	Risk rating decreased ↓	Q3 25/26 - Budget performance in the current year remains good and clear plans are in place for the coming year. The plans for next year have been developed with extensive engagement with officers and members, which helps ensure that all relevant issues are properly considered. Risk has been substantially reduced by Local Government Review(LGR) which means that risks will end with the winding up of Maldon District Council (MDC), so planning cover for longer term risks is no longer required.	Good	2008/09